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PTO/SB/21 (02-04)
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U.S. Pateni and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Penerwork Reduction Act of 1995, no o are required to respond to a collection of information unless it displays a valid OMB control numb Application Number 10/657.856 TRANSMITTAL Filing Date 9/10/2003 **FORM** First Named Inventor **England** Art Unit (to be used for all correspondence after Initial filing) 2833 Examiner Name Renee S. Luebke Attorney Docket Number Total Number of Pages in This Submission NC 85004 **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittel Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences < Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Affidavits/declaration(8) Change of Correspondence Address Status Letter Other Enclosure(s) (please **Extension of Time Request** Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Cartifled Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Mark O. Glut Individual name Signature Date 06/22/04 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class, mail in an envelope addressed to: Commissioner for Petents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Mark O. Glut Signature Date 6/22/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Petent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND PEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# SUBMISSION UNDER 37 C.F.R. 1.111 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Donald H. England III Serial No.: 10/657,856 Filed: September 10, 2003 For: Apparatus for Locking a Plug	)	Group Art Unit: 2833 Examiner: Renee S. Luebke Att. Docket No.: NC 85004
Commissioner of Patents and Trademarks		•

### AMENDMENT COMPLYING WITH 37 C.F.R. 1.111

### Dear Examiner:

Washington, D.C. 20231

In response to the Office Action mailed 06/09/2004, please amend the above-identified U.S. patent application as follows: